

The OFT has created this sample as guidance to assist REAs with their AML/CFT obligations under the Proceeds of Crime Act 2015. The use of this form may not guarantee compliance by your business with these obligations. Your business should therefore assess its specific money laundering & terrorist financing risks and create bespoke forms to carry out appropriate Customer Due Diligence measures.

FORM REA 2B

CUSTOMER DUE DILIGENCE: COMPANIES

A form REA 2B should be completed in conjunction with form REA 1 to:

1. identify any company which:
 - a. is the Customer; and/or
 - b. is the Other Party to the transaction; and
2. to specify who owns or controls that company.

One form should be completed for each company.

You should also complete form REA 2A to adequately identify every beneficial owner identified in section 4 of this form.

1. Customer's or Other Party's details

Establish whether the company is linked to the Customer or Other Party and how:

Company's relationship to the Customer or Other Party :	<input type="checkbox"/> Company is the Customer <input type="checkbox"/> Company is the Other Party <input type="checkbox"/> Parent company of the Customer <input type="checkbox"/> Parent company of the Other Party <input type="checkbox"/> Other (please specify)
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2. Company's Details

Company name:	
Jurisdiction of incorporation:	
Incorporation number:	
Certificate of incorporation:	<input type="checkbox"/> Collected <input type="checkbox"/> Copy attached
Registered office:	
Principal place of business: (If different to Registered office)	

3. Shareholders & director details

Register of Members OR up-to-date Company profile showing legal shareholders:	<input type="checkbox"/> Collected <input type="checkbox"/> Copy attached
Register of Directors OR up-to-date Company profile showing appointed directors:	<input type="checkbox"/> Collected <input type="checkbox"/> Copy attached

4. Beneficial ownership & control of company

Please provide details of every individual (if any) that:
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<p>1. is the beneficial owners of more than 25% percentage of shares in the company:</p> <p>State the shareholder who holds the shares legally and the beneficial owner for who it holds those shares.</p> <p>If there are more than two beneficial owners please use a separate sheet.</p>	<p>1. Legal shareholder: _____ Beneficial owner: _____ Percentage of shares held _____%</p> <p>2. Legal shareholder: _____ Beneficial owner: _____ Percentage of shares held _____%</p> <p><input type="checkbox"/> Document reflecting arrangements collected</p>
<p>2. holds, directly or indirectly, more than 25% of voting rights in the company:</p> <p>Voting rights are usually held by shareholders.</p>	<p>1. Individual: _____ Voting rights held _____%</p> <p>2. Individual: _____ Voting rights held _____%</p>
<p>3. holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company other than through the voting rights set out in 2 above.</p>	<p>Individual: _____ Please specify how they can exercise control:</p>
<p>4. has the right to execute, or actually exercise control over the company or business:</p>	<p>Individual: _____ Please specify how they can exercise control:</p>

Checklist for person carrying out Customer Due Diligence

Please check the boxes below accordingly:

- Has the form be fully completed?
- Are you confident you understand who the person(s) who ultimately owns or controls the company is?
- Are copies of the relevant documents (e.g. company profile/registers) attached to this form?

Signature: _____

Employee name: _____

Date: _____